



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Douglas BEIGEL

Confirmation Number: 5823

Application No.: 10/665,511

Group Art Unit: 3714

Filed: September 22, 2003

Examiner: OLSZEWSKI, Robert Paul

For: **ONLINE SYSTEM AND METHOD FOR ASSESSING/CERTIFYING
COMPETENCIES AND COMPLIANCE**

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

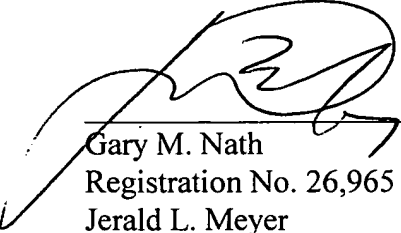
- (1) Transmittal Letter;
- (2) Information Disclosure Statement; and
- (3) Form PTO-1449 citing 6 references.

Please charge any fee deficiency, or credit any overpayment, in connection with this matter to Deposit Account No. 14-0112.

Respectfully submitted,
THE NATH LAW GROUP

March 6, 2007

THE NATH LAW GROUP
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Attorney Docket: 28864U

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. 1.97-1.98. Please note the following particulars: [NOTE: One only of items a, b, c, and d must be checked.]

☒ a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

☐ b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311.

The enclosed statement is accompanied by [check one]:

- ☐ i. a certification in part (e) below as specified in 37 C.F.R. 1.97(e), or
☐ ii. a check in the amount required by 37 C.F.R. 1.17(p).

☐ c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311, but before payment of the issue fee.

- ☐ Certification report(e) below; and
☐ a check in the amount as required by 1.17(p).

☐ d. The enclosed statement is being filed pursuant to 37 C.F.R. 1.97(i), for placement in the file.

☐ e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]

☐ I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,
or

☐ I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

☐ Appropriate certification is attached.

☒ f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.

☒ g. Copies of the documents are attached herewith with a completed Form PTO-1449.

or

☐ Copies of the documents are not attached as allowed under CFR 1.98(d)(1)(2). The earlier application is identified as:

and / or

☒ Copies of US Patents/Publications not attached as allowed in Official Gazette Aug. 5, 2003/ Vol. 1273, no. 1.

The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to

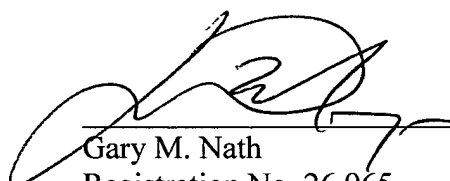
initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,
THE NATH LAW GROUP

March 6, 2007

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FORM PTO-1449 <u>INFORMATION DISCLOSURE</u> <u>CITATION</u>	Attorney Docket: 28864U	Inventor: BEIGEL
	Group Art Unit: 3714	Examiner: OLSZEWSKI, Robert Paul
	Application Number: 10/665,511	Filing Date: September 22, 2003

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Issue Date/Pub. date	Name	Class	Sub-Class	Filing Date
	A1	2002/0133502	Sep. 19, 2002	ROSENTHAL et al.	707	104.1	Jan. 5, 2001
	A2	5,823,781	Oct. 20, 1998	HITCHCOCK et al.	434	118	Jul. 29, 1996
	A3	6,816,702	Nov. 9, 2004	KUNTZ et al.	434	353	Mar. 15, 2002
	A4	6,974,914	Sep. 20, 2005	BERTRAND et al.	706	16	May 24, 2004
	A5	2002/0099586	Jul. 25, 2002	BLADEN et al.	705	7	Nov. 20, 2001
	A6	5,697,789	Dec. 16, 1997	SAMETH et al.	434	157	Nov. 28, 1994

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
	A7						

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	A8	
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Examiner	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP ' 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.